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			Applicants Thomas J. DALEY, et al.					
			Filing Date January 29, 2004	Group Art Unit 3684				
U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee		Class	Sub-Class	Filing Date
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Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation	
		WO 2004/008296	1/22/2004	PCT	Zhou et al.			
		JP 2003-515824 (allegedly published as U.S. Patent 7386497)	5/7/2003	JP	Gooch			
		JP 2002-230304	8/16/2002	JP	Mizuide et al.		Abstract Only	
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NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published						
		Japanese Office Action with English translation for Application No. 2006-551591, dated August 24, 2010 (4 pages).						
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		Daisuke Ochiai, "Electronic Finance and Security Trading, 'London Stock Exchange Transfers to New Trading System'", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.						
		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).						
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		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).						
Examiner Signature						Date Considered		